

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	13697172
<b>Application Number:</b>	10001921
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8945
<b>Title of Invention:</b>	Method and apparatus for trading bonds
<b>First Named Inventor/Applicant Name:</b>	Murray L. Finebaum
<b>Customer Number:</b>	42532
<b>Filer:</b>	Patrick J. Niedermeier
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	MAX-002
<b>Receipt Date:</b>	10-SEP-2012
<b>Filing Date:</b>	15-NOV-2001
<b>Time Stamp:</b>	15:28:22
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 2200
RAM confirmation Number	2430
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	MAX-002_Transmittal_for_RCE_Amendment_and_Response.pdf	268290 47d5d988bdc8b6218847675c288c752e42ba5a	no	2
Warnings:					
Information:					
2	Extension of Time	MAX-002_Petition_for_Extension_of_Time.pdf	286792 f3dc27a6cb5b114b1633d6a78633422904f56242	no	2
Warnings:					
Information:					
3	Request for Continued Examination (RCE)	MAX-002_RCE.pdf	697466 26c702006e4225017ba5016a742a2a12dfe0a3b	no	3
Warnings:					
Information:					
4		MAX-002_Amendment_and_Response_to_Final_Office_Action.pdf	301754 72226ff0b2dc95777104ccae3b31f5373d413	yes	32
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Request for Continued Examination (RCE)		1	1	
	Amendment Copy Claims/Response to Suggested Claims		2	14	
	Applicant Arguments/Remarks Made in an Amendment		15	32	
Warnings:					
Information:					
5	Fee Worksheet (SB06)	fee-info.pdf	31865 ba2c45d83b0cb48c1c7162d5900da99b5c5fd	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			1586167		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.